



IFW

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/766,035	01/29/2004	Masanobu Ando	F03-363-US

21254  
MCGINN & GIBB, PLLC  
8321 OLD COURTHOUSE ROAD  
SUITE 200  
VIENNA, VA 22182-3817

CONFIRMATION NO. 2444

## FORMALITIES LETTER



\*OC000000012938546\*

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/04/2004 SZEWDIE1 00000082 10766035

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 770.00 OP  
02 FC:1051 130.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

*Fulliwar*

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Masanobu Ando, et al.

Serial No.: 10/766,035

Group Art Unit: 2828

Filing Date: January 29, 2004

Examiner: Unknown

For: AN EDGE-EMITTING TYPE SEMICONDUCTOR LASER

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450

**SUBMISSION OF DECLARATION**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application (copy attached), dated June 14, 2004, submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$940 to cover the \$770 application fee, the \$130 surcharge for the late filing of the declaration, and \$40 for the assignment recordation fee.

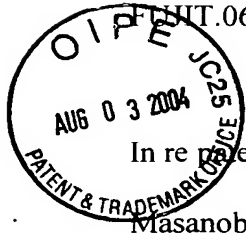
Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sean McGinn".

Sean M. McGinn, Esq.  
Registration No. 34.386

Date: 8/3/04  
McGinn & Gibb, PLLC  
Intellectual Property Law  
8321 Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Masanobu Ando, et al.

Serial No.: 10/766,035

Group Art Unit: 2828

Filing Date: January 29, 2004

Examiner: Unknown

For: AN EDGE-EMITTING TYPE SEMICONDUCTOR LASER

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450

**SUBMISSION OF ASSIGNMENT**

Sir:

Kindly record and return to the undersigned the attached Assignment from Masanobu ANDO and Hiroshi WATANABE to Toyoda Gosei Co., Ltd., for the above-identified patent application. The recording fee \$ 40.00 is included in the total fee for filing the Missing Parts for the above-identified application, a check for which accompanies this submission.

Respectfully submitted.

Sean M. McGinn, Esq.  
Registration No. 34.386

Date: 8/3/04  
McGinn & Gibb, PLLC  
Intellectual Property Law  
8321 Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254